

Privacy notice for scientific research

General Data Protection Regulation of the EU Articles 12-14

Date: 1st March 2023

1. Title, nature and duration of research

Title of research: <u>Higher Education Autonomy in Europe: supranational, national, and</u> <u>institutional trends</u>

This project is coordinated by CIPES (Center for Research in Higher Education Policies), located in Matosinhos, Portugal, with the collaboration of CIIE (Center for Research and Intervention in Education) of the Faculty of Psychology and Educational Sciences of the University of Porto (FPCEUP).

Funding: FCT - Fundação para a Ciência e Tecnologia (project no: 2022.03986.PTDC)

⊠ Case study

□ Follow-up study

Duration of research: March 1st, 2023 - March 31st, 2026

Duration of data processing: March 1st, 2023 - March 1st, 2040

Ethical and data management documents

2. Data controller

☑ Research will be conducted under an employment contract with CIPES - Centre for Research in Higher Education Policies, indicating CIPES as the data controller.

CIPES

Rua 1º Dezembro 399

4450-227 Matosinhos



Portugal

3. Contact person and principal investigator

Name: António Magalhães (Director of CIPES and PI)

Amélia Veiga (Co-PI)

Address: Rua 1º Dezembro 399, 4450-227 Matosinhos, Portugal

Phone number: +351 - 229 398 790

Email address: antonio@cipes.up.pt; aveiga@cipes.up.pt

4. Contact details of the data protection officer

dpo@cipes.up.pt

5. Principal investigator and research group

The Principal Investigator is a person assigned by the Data Controller to oversee the research project's implementation. A research group may also be assigned to serve as the Principal Investigator.

Main researchers:

António Magalhães (Director of CIPES and PI)

Amélia Veiga (Co-PI)

Research Team:

Alberto Amaral

Maria João Rosa

Sónia Cardoso

Teresa Carvalho



External Researchers:

Dominik Antonowicz

Jaakko Kauko

Paula Silven

Maarja Beerkens

Stephanie Mignot-Gerard

Research grant holders, Centre for Research in Higher Education Policies (CIPES)

6. Content of research records

Survey data:

Answer Database

Interview data:

- Audio
- Interview Transcript

Contact information

- Name
- Contact Information
- Professional Information
- Organisation

Document analysis

• Digital Archive with Public Access Documents



7. Sources of personal data and purpose of its processing

Personal data will be collected from publicly available sources. Personal data may also be obtained from the subjects as part of the research.

8. Purpose of processing personal data

The purpose of processing personal data is scientific research.

9. Legal basis for processing personal data

Personal data are processed on the following basis (Article 6(1) of the GDPR):

⊠ Task carried out in the public interest:

Scientific or historical research purposes or statistical purposes

□ Archiving of research material and cultural heritage material.

- \Box Consent by the research subject
- \Box Compliance with a legal obligation to which the controller is subject
- □ Legitimate interests pursued by the controller or by a third party

Specify the legitimate interest:

If the processing of personal data is based on the research subject's consent, he or she can withdraw that consent at any time. The withdrawal of consent does not affect the lawfulness of processing based on consent before its withdrawal.

10. Sensitive personal data (special categories of data and criminal records)



The data from the analysis of institutional documents is not considered sensitive since the analysed documentation is publicly available. The survey is anonymous and the interviews will be pseudonymised, hence the collected data is not considered sensitive.

No sensitive personal data will be processed during the research project

□ The following types of sensitive personal data will be processed during the research project:

- □ Racial or ethnic origin
- □ Political opinions
- □ Religious or political beliefs
- □ Trade union membership
- □ Genetic data
- □ Biometric data to uniquely identify a person
- □ Health data
- □ Data concerning a natural person's sex life or sexual orientation

Will personal data concerning criminal convictions and offences be processed during the research project?

🛛 No

□ Yes

11. Transfer or disclosure of data to external parties



□ Personal data will be regularly transferred or disclosed to parties other than the research group's members

12. Data protection principles

Data collected and analysed will be stored at CIPES which has a set of safety procedures in place to protect personal data, namely, a data structure guaranteeing the pseudonymisation of the interviews at the beginning of the data treatment. Simultaneously, the transcription and review of the transcriptions referred to above will guarantee the pseudonymity of the interviewees. All processing of personal data will be subject to registration, under the regulations in effect. Unedited personal data will be housed in a restricted access workplace, protected by a password that is only known by the person in charge of personal data and the person in charge of the host institution. Internet access at this workstation and all others within CIPES' facilities is safeguarded by a firewall managed by the computer services department of the University of Porto (UP).

Personal data included in the research dataset will be processed and kept protected so that only those who need the data can access it.

Protection of digital materials (e.g. information systems and equipment):

- ⊠ usernames
- ⊠ password
- □ multi-factor authentication (MFA)
- ⊠ access management (IP address)
- \Box collection of log data
- Image: Physical access control
- \Box other, please specify



Processing of data that directly identify an individual:

Directly identifiable data will be removed during the analysis stage

The materials will be pseudonymised

□ The materials will be analysed without directly removing identifiable data, because (please provide the reasons for retaining personally identifiable data):

13. Processing of personal data after the research project has been concluded

Dispensable data files and temporary files created when programs are used will be erased when they are no longer needed. The audio files will be destroyed after the original research has been completed to protect privacy.

 \boxtimes The research records will be destroyed

- □ The research records will be anonymised and archived without personally identifiable data
- \Box The research records will be archived without anonymisation

14. Rights of data subjects and derogations from those rights

Under the General Data Protection Regulation, data subjects have the following rights:

- Right of access to their own data
- Right to rectification of their data
- Right to the erasure of their data and to be forgotten
- Right to the restriction of processing of their data
- Right to data portability from one controller to another
- Right to object to the processing of their data



• Right not to be subject to automated decision-making

Further information on the rights of data subjects in various circumstances can be found on the website of the Portuguese data protection authority: <u>https://www.cnpd.pt/cidadaos/direitos/</u>